

THE SEMIGROUP  $\beta S$ 

If  $S$  is a discrete space, its Stone-Čech compactification  $\beta S$  can be described as the space of ultrafilters on  $S$  with the topology for which the sets of the form  $\overline{A} = \{p \in \beta S : A \in p\}$ , where  $A \subseteq S$ , is chosen as a base for the open sets. (Note that we embed  $S$  in  $\beta S$  by identifying  $s \in S$  with the principal ultrafilter  $\{A \subseteq S : s \in A\}$ .)

$\beta S$  is then an extremely disconnected compact space and  $\overline{A} = cl_{\beta S}(A)$  for each  $A \subseteq S$ .

If  $S$  is a semigroup, the semigroup operation on  $S$  has a natural extension to  $\beta S$ .

Given  $s \in S$ , the map  $t \mapsto st$  from  $S$  to  $\beta S$  has a continuous extension to  $\beta S$ , which we denote by  $\lambda_s$ . For  $s \in S$  and  $q \in \beta S$ , we put  $sq = \lambda_s(q)$ . Then, for every  $q \in \beta S$ , the map  $s \mapsto sq$  from  $S$  to  $\beta S$  has a continuous extension to  $\beta S$ , which we denote by  $\rho_q$ . We put  $pq = \rho_q(p)$ . So  $pq = \lim_{s \rightarrow p} \lim_{t \rightarrow q} st$ .

It is easy to see that this operation on  $\beta S$  is associative, so that  $\beta S$  is a semigroup. It is a right topological semigroup, because  $\rho_q$  is continuous for every  $q \in \beta S$ . In addition,  $\lambda_s$  is continuous for every  $s \in S$ . These two facts are summed up by saying that  $\beta S$  is a semigroup compactification of  $S$ . It is the maximal semigroup compactification of  $S$ , in the sense that every other semigroup compactification of  $S$  is the image of  $\beta S$  under a continuous homomorphism.

We shall use  $S^*$  to denote the remainder space  $\beta S \setminus S$ .

If  $S$  and  $T$  are semigroups, every homomorphism from  $S$  to  $T$  extends to a continuous homomorphism from  $\beta S$  to  $\beta T$ .

If  $T$  is a subset of a semigroup,  $E(T)$  will denote the set of idempotents in  $T$ .

Every compact right topological semigroup  $T$  has important algebraic properties. I shall need to use the following:

- (i)  $T$  contains an idempotent; i.e. an element  $p$  for which  $p^2 = p$ .
- (ii) A non-empty subset  $V$  of  $T$  is said to be a *left ideal* if  $TV \subseteq V$  and a *right ideal* if  $VT \subseteq V$ . It is an *ideal* if it is both a left and a right ideal.  $T$  contains a smallest ideal  $K(T)$ , which is the union of all its minimal left ideals and the union of all its minimal right ideals. If  $L$  is a minimal left ideal and  $R$  a minimal right ideal of  $T$ , then  $L \cap R = RL$  is a group.
- (iii)  $K(T)$  always contains an idempotent. An idempotent in  $K(T)$  is called *minimal*. An idempotent in  $T$  is minimal in this sense if and only if it is also minimal for the partial order defined on idempotents by putting  $p \leq q$  if and only if  $pq = qp = p$ . If  $p$  is any idempotent in  $T$ , there is an idempotent  $q \in K(T)$  satisfying  $q \leq p$ . We also define quasi-orders  $\leq_L$  and  $\leq_R$  on the idempotents of  $T$  by putting  $p \leq_L q$  if  $pq = p$  and  $p \leq_R q$  if  $qp = p$ .
- (iv) If  $S$  is a discrete semigroup, a subset of  $S$  is said to be *central* if it is a member of a minimal idempotent in  $\beta S$ . Central sets have very rich combinatorial properties.

#### APPLICATIONS TO RAMSEY THEORY

Ramsey Theory is the study of properties of finite partitions of a given set. We shall often refer to a finite partition of a set  $S$  as a *finite colouring* of  $S$ , and call a subset of  $S$  *monochrome* if it is contained in a cell of the partition.

Observe that, given any finite colouring of  $S$  and any ultrafilter  $p \in \beta S$ ,  $p$  will have a member that is monochrome.

#### HINDMAN'S THEOREM

## Notation

Given a sequence  $(x_n)$  in a semigroup,  $FP\langle x_n \rangle$  denotes the set of all products of the form  $x_{n_1}x_{n_2}\cdots x_{n_k}$  with  $n_1 < n_2 < \cdots < n_k$ . (If  $S$  is denoted additively, we might denote this set by  $FS\langle x_n \rangle$ .)

If  $S$  is a semigroup,  $p$  is an idempotent in  $\beta S$  and  $A \in P$ , then  $A^* = \{s \in A : s^{-1}A \in p\}$ , where  $s^{-1}A = \{t \in S : st \in A\}$ . It is easy to show that  $A^* \in p$  and that  $t^{-1}A^* \in p$  for every  $t \in A^*$ .

## Hindman's Theorem

Let  $S$  be a semigroup. Given any finite colouring of  $S$ , there is a sequence  $(x_n)_{n=1}^\infty$  in  $S$  such that  $FP\langle x_n \rangle$  is monochrome.

### Ultrafilterproof (Galvin Glazer)

I shall show that, if  $p$  is an idempotent in  $\beta S$  and  $A \in p$ , then  $FP\langle x_n \rangle \subseteq A$  for some sequence  $(x_n)$  in  $S$ .

Choose any  $x_1 \in A^*$ . Then assume that  $x_1, x_2, \dots, x_n$  have been chosen so that  $FP\langle x_i \rangle_{i=1}^n \subseteq A^*$ . Choose  $x_{n+1} \in A^* \cap \bigcap_{y \in FP\langle x_i \rangle} y^{-1}A^*$ . This is possible, because this set is a finite intersection of elements of  $p$  and is therefore non-empty. Then  $FP\langle x_i \rangle_{i=1}^{n+1} \subseteq A^*$ .  $\square$

Note that, if  $p \in \beta S \setminus S$ ,  $\langle x_n \rangle$  can be chosen as a sequence of distinct points.

## THEOREM

Given a finite colouring of  $\mathbb{N}$ , there exist infinite sequences  $(x_n)$  and  $(y_n)$  in  $\mathbb{N}$  such that  $FS\langle x_n \rangle \cup FP\langle y_n \rangle$  is monochrome.

### Proof

There is an idempotent  $p$  in  $K(\mathbb{N}, \cdot)$  which is in the closure of the idempotents in  $K(\beta\mathbb{N}, +)$ .

This follows from the fact that the closure of the minimal idempotents in  $(\beta\mathbb{N}, +)$  is a left ideal in  $(\beta\mathbb{N}, \cdot)$ .

So every member of  $p$  is also a member of an idempotent in  $(\beta\mathbb{N}, +)$ .

□

## VAN DER WAERDEN'S THEOREM

### Theorem

Let  $(S, +)$  be a commutative semigroup. Given any finite colouring of  $S$ , there is an arbitrarily long AP which is monochrome.

### Proof

We shall show that, if  $p \in K(\beta S)$  and  $A \in p$  then  $A$  contains arbitrarily long AP's.

Let  $\ell \in \mathbb{N}$  and put  $T = (\beta S)^\ell$ . Put  $\tilde{p} = (p, p, p, \dots, p) \in T$ . We define subsets  $E$  and  $I$  of  $S^\ell$  as follows:

$$\begin{aligned} I &= \{(a, a + d, a + 2d, \dots, a + (\ell - 1)d) : a, d \in S\} \\ E &= \{(a, a, a, \dots, a) : a \in S\} \cup I \end{aligned}.$$

Then  $E$  is a subsemigroup of  $T$  and  $I$  is an ideal in  $E$ .

Furthermore,  $\overline{E}$  is a subsemigroup of  $T$  and  $\overline{I}$  is an ideal in  $\overline{E}$ . Now  $\tilde{p} \in \overline{E}$  and it follows easily that  $\tilde{p} \in K(\overline{E})$ . So  $\tilde{p} \in \overline{I}$ . Since  $\overline{A}^\ell$  is a neighbourhood of  $\tilde{p}$  in  $T$ ,  $\overline{A}^\ell \cap I = A^\ell \cap I \neq \emptyset$ . So there exist  $a, d \in S$  such that  $(a, a + d, a + 2d, \dots, a + (\ell - 1)d) \in A^\ell$ . □

### COROLLARY

Given a finite colouring of  $\mathbb{N}$ , there is an arbitrarily long AP  $A$  and an arbitrarily long GP  $G$  such that  $A \cup G$  is monochrome.

## Proof

We can choose  $p \in K(\beta\mathbb{N}, \cdot) \cap \overline{K(\beta\mathbb{N}, +)}$ . Then every member of  $p$  contains arbitrarily long AP's and arbitrarily long GP's.  $\square$

## THE HALES JEWETT THEOREM

### Theorem

Let  $A$  denote a finite alphabet and let  $v$  denote any element which is not in  $A$ . Let  $S$  denote the semigroup of words over  $A$ , and let  $S(v)$  denote the semigroup of words over  $A \cup \{v\}$  which contain  $v$ . Let  $W = S \cup S(v)$ . For each  $a \in A$  and  $w \in W$ , let  $w(a) \in S$  be defined as the word obtained from  $w$  by replacing all occurrences of  $v$  by  $a$ . Then given any finite colouring of  $S$ , there exists  $w \in S(v)$  such that  $\{w(a) : a \in A\}$  is monochrome.

### Proof (A. Blass)

Define  $h_a : W \rightarrow S$  by  $h_a(w) = w(a)$ . Observe that  $h_a$  is a homomorphism, and hence that  $h_a$  extends to a continuous homomorphism from  $\beta W$  onto  $\beta S$ . Choose a minimal idempotent  $p \in \beta S$  and a minimal idempotent  $q \in \beta W$  satisfying  $q \leq p$ . For each  $a \in A$ ,  $h_a(q) \leq h_a(p) = p$ . So  $h_a(q) = p$ . Hence, given any  $P \in p$ , there exists  $Q \in q$  such that  $h_a(Q) \subseteq P$ . If  $w \in Q$ , then  $w(a) \in P$  for every  $a \in A$ .  $\square$

## EXTENSION OF VAN DE WAERDEN'S THEOREM (I.Leader, N.Hindman) ■

Note that if  $A = \begin{pmatrix} 1 & 0 \\ 1 & 1 \\ 1 & 2 \\ \vdots & \vdots \\ 1 & \ell - 1 \end{pmatrix}$ , then an AP can be described as the set of entries of a column vector of the form  $A \begin{pmatrix} a \\ d \end{pmatrix}$ .

Let  $S$  be a commutative semigroup. There is a set of matrices  $\mathcal{A}$  over  $\omega$  with the following property: If  $A \in \mathcal{A}$  and  $C$  is a central subset of  $S$ , then  $C$  contains all the entries of  $AX$  for some column vector  $X$  over  $S$  for which  $AX$  is defined.  $\mathcal{A}$  contains all matrices over  $\omega$ , with no row identically zero, in which the first non-zero entries in two different rows are equal if they occur in the same column. We also require that  $cS$  is a central subset of  $S$  whenever  $c$  is the first non-zero entry of a row of  $A$ .

In particular,  $\mathcal{A}$  contains all finite matrices over  $\omega$ , with no row identically zero, in which the first non-zero entry of each row is 1.

So if  $A \in \mathcal{A}$ , in every finite colouring of  $S$ , there is a column matrix  $X$  with entries in  $S$  such that  $AX$  is defined and all the entries of  $AX$  are monochrome. A matrix  $A$  with these properties is called *image partition regular*.

A finite matrix  $A$  over  $\mathbb{Q}$  is image partition regular if and only if every central subset of  $\mathbb{N}$  contains all the entries of  $AX$  for some column matrix  $X$  over  $\mathbb{Q}$  for which  $AX$  is defined. In particular, finite image partition matrices over  $\mathbb{Q}$  can be diagonalised, in the sense that, whenever  $A$  and  $B$  are two matrices of this kind, then  $\begin{pmatrix} A & O \\ O & B \end{pmatrix}$  is also image partition regular.

#### ANOTHER EXTENSION (V. Bergelson)

Every central subset  $C$  of  $(\mathbb{N}, \cdot)$  contains an arbitrarily long geoarithmetric progression. I.e., given  $\ell \in \mathbb{N}$ , there exist  $a, b, d \in \mathbb{N}$  such that  $b(a + id)^j \in C$  for every  $i, j \in \{0, 1, 2, \dots, \ell\}$ .

#### FURTHER EXTENSIONS (M. Beiglböck, V. Bergelson, N. Hindman, DS)

If  $S$  is a commutative semigroup and  $\mathcal{F}$  a partition regular family of finite subsets of  $S$ , then for any finite partition of  $S$  and any  $k \in \mathbb{N}$ ,

there exists  $b, r \in S$  and  $F \in \mathcal{F}$  such that  $rF \cup \{b(rx)^j : x \in F, j \in \{0, 1, 2, \dots, k\}\}$  is contained in a cell of the partition.

Let  $\mathcal{F}$  and  $\mathcal{G}$  be families of subsets of  $\mathbb{N}$  such that every multiplicatively central subset of  $\mathbb{N}$  contains a member of  $\mathcal{F}$  and every additively central subset of  $\mathbb{N}$  contains a member of  $\mathcal{G}$ . If either  $\mathcal{F}$  or  $\mathcal{G}$  is a family of finite sets, then, given any finite colouring of  $\mathbb{N}$ , there exists  $B \in \mathcal{F}$  and  $C \in \mathcal{G}$  such that  $B \cup C \cup B \cdot C$  is monochrome.

### MILLIKEN TAYLOR SYSTEMS

The theory of the partition regularity of finite systems of linear equations is well understood. Given a finite matrix over a field, the question of whether it is image partition regular has a computable answer. Infinite systems present far greater difficulty. Milliken Taylor systems are among the small number of infinite systems known to be image partition regular. Suppose that  $\langle a_1, a_2, \dots, a_n \rangle$  is a finite sequence of non-zero integers, with successive terms distinct. The Milliken Taylor matrix  $M = MT\langle a_1, a_2, \dots, a_n \rangle$  is an  $\omega \times \omega$  matrix which contains all possible rows satisfying the following conditions:

- (i) There are only a finite number of non-zero entries in each row;
- (ii) No row is identically zero;
- (iii) The non-zero entries in each row lie in  $\{a_1, a_2, \dots, a_n\}$ , with each  $a_i$  occurring and each occurrence of  $a_i$  preceding each occurrence of  $a_{i+1}$ .

The Milliken Taylor Theorem states that, in any finite colouring of  $\mathbb{Z}$ , there is an  $\omega \times 1$  matrix  $\vec{x}$  with integer entries such that all the entries of  $M\vec{x}$  are monochrome. In fact, if  $p$  is any idempotent in  $\beta\mathbb{Z}$  and  $P$  is any member of  $p$ , the entries of  $\vec{x}$  can be chosen to lie in  $P$ .

Note that Hindman's Theorem is a special case of this theorem, because Hindman's Theorem follows from the partition regularity of  $M\langle 1 \rangle$ ,

the finite sums matrix.

Two different MT matrices are incompatible. If  $A = MT\langle \vec{a} \rangle$  and  $B = MT\langle \vec{b} \rangle$  are MT matrices, where  $\vec{a}$  and  $\vec{b}$  are not rational multiples of each other, there is a two colouring of  $\mathbb{Z}$  for which there do not exist  $\omega \times 1$  matrices  $\vec{x}$  and  $\vec{y}$  over  $\mathbb{Z}$  for which all the entries of  $A\vec{x}$  and  $B\vec{y}$  have the same colour. So infinite image partition regular matrices over  $\mathbb{Q}$  cannot be diagonalised.

However, translating these matrices completely changes the situation. A recent result, due to N. Hindman, I. Leader and DS, shows that if  $M = MT\langle a_1, a_2, \dots, a_n \rangle$ , where  $a_n = 1$ , and if  $H = MT\langle 1 \rangle$ , then the matrix  $A = \begin{pmatrix} \bar{1} & M \\ \bar{0} & H \end{pmatrix}$  is partition regular. (Here  $\bar{a}$  denotes the constant  $\omega \times 1$  matrix whose entries are all equal to  $a$ .) In fact, given any central subset  $C$  of  $\mathbb{N}$ , there exists a column vector  $X$  with entries in  $\mathbb{Z}$  for which all the entries of  $AX$  are in  $C$ .

More generally, if Millken Taylor  $A = MT\langle a_1, a_2, \dots, a_n \rangle$  and  $B = MT\langle b_1, b_2, \dots, b_k \rangle$ , then  $\begin{pmatrix} \bar{1} & A \\ \bar{0} & B \end{pmatrix}$  is image partition regular provided that  $a_n = b_k$ .

## ADDITIVE AND MULTIPLICATIVE IDEMPOTENTS IN $\beta\mathbb{N}$

### THEOREM (DS)

The closure of the smallest ideal of  $(\beta\mathbb{N}, \cdot)$  does not meet the smallest ideal of  $(\beta\mathbb{N}, +)$ . In fact, it does not meet  $\mathbb{N}^* + \mathbb{N}^*$ .

THEOREM (DS) The closure of the set of multiplicative idempotents in  $\beta\mathbb{N}$  does not meet the set of additive idempotents.

### Lemma 1

Let  $A$  and  $B$  be  $\sigma$ -compact subsets of a compact F-space. Then  $\overline{A} \cap \overline{B} \neq \emptyset$  implies that  $\overline{A} \cap B \neq \emptyset$  or  $A \cap \overline{B} \neq \emptyset$ .

## Lemma 2

Let  $\mu\mathbb{R}$  denote the uniform compactification of  $\mathbb{R}$ . This is a compact right topological semigroup in which  $\mathbb{R}$  is densely embedded, with the defining property that a bounded continuous real function has a continuous extension to  $\mu\mathbb{R}$  if and only if it is uniformly continuous.

The log function from  $\mathbb{N}$  to  $\mathbb{R}$  has a continuous extension to a function  $L$  from  $\beta\mathbb{N}$  to  $\mu\mathbb{R}$ .  $L$  has the following properties:

- (i)  $L(x+y) = L(y)$  for every  $x \in \beta\mathbb{N}$  and every  $y \in \mathbb{N}^*$ .
- (ii)  $L(xy) = L(x) + L(y)$  for every  $x, y \in \beta\mathbb{N}$ .

## Remark

For  $x \in \beta\mathbb{N}$  and  $n \in \mathbb{N}$ ,  $nx$  will denote  $\lim_{s \rightarrow x} ns$ . Note that this is not the same as  $x + x + \dots + x$ , with  $n$  terms in the sum.

## Proof of Theorem

Let  $\mathbb{H} = \bigcap_{n \in \mathbb{N}} cl_{\beta\mathbb{N}}(2^n\mathbb{N})$ .

Let  $\mathbb{T}$  denote the unit circle.

Observe that  $\mathbb{H}$  contains all the idempotents in  $(\beta\mathbb{N}, +)$  and that every idempotent in  $(\beta\mathbb{N}, \cdot)$  is either in  $\mathbb{H}$  or in  $cl_{\beta\mathbb{N}}(2\mathbb{N} - 1)$ .

Let  $C = cl_{\beta\mathbb{N}}(E(\beta\mathbb{N}, \cdot)) \cap \mathbb{H}$ . Assume that there exists  $p \in E(\beta\mathbb{N}, +) \cap C$ .

Let  $D = \{x \in \mu\mathbb{R} : \phi(x) = 0 \text{ for every continuous homomorphism } \phi : \mu\mathbb{R} \rightarrow \mathbb{T}\}$ . Then  $L(C) \subseteq D$  and so  $L(p) \in D$ . Observe that, for every distinct  $s \neq 0$  in  $\mathbb{R}$ ,  $(s + D) \cap D = \emptyset$ . It follows that, for any  $n > 1$  in  $\mathbb{N}$ ,  $L(p) \notin L(n) + D$ .

We have  $p \in cl_{\beta\mathbb{N}}((\mathbb{N} \setminus \{1\}) + p)$ . We also have  $p \in cl_{\beta\mathbb{N}}(\bigcup\{nC : n \in \mathbb{N}, n > 1\})$ , because  $E(\beta\mathbb{N}, \cdot) \cap \mathbb{H} \subseteq cl_{\beta\mathbb{N}}(\bigcup\{nC : n \in \mathbb{N}, n > 1\})$ .

It follows from Lemma 2 that  $x + p \in nC$  for some  $x \in \beta\mathbb{N}$  and some  $n > 1$  in  $\mathbb{N}$ , or else  $n + p \in cl_{\beta\mathbb{N}}(\bigcup\{nC : n \in \mathbb{N}, n > 1\})$ .

The first possibility is ruled out because it implies that  $L(p) \in L(n) + D$ . The second is ruled by the observation that  $n + p \notin \mathbb{H}$ , while  $nC \subseteq \mathbb{H}$  for every  $n \in \mathbb{N}$ .  $\square$

### COROLLARY

There is no idempotent  $p \in (\beta\mathbb{N}, +)$  such that every member of  $p$  contains all the finite products of an infinite sequence in  $\mathbb{N}$ .

### QUESTION

Is there an idempotent  $p \in (\beta\mathbb{N}, +)$  such that every member of  $p$  contains three integers of the form  $x, y, xy$ ?

### SOME PROPERTIES OF IDEMPOTENTS IN $\beta\mathbb{N}$

- (1) (N. Hindman, DS) There are  $2^\mathfrak{c}$  idempotents in  $\overline{K(\beta\mathbb{N})} \setminus K(\beta\mathbb{N})$ .
- (2) (N. Hindman, DS, Y. Zelenyuk)  $\beta\mathbb{N}$  contains decreasing  $\leq_L$  chains of idempotents indexed by  $\mathfrak{c}$ . If  $\alpha$  is a countable ordinal,  $\beta\mathbb{N}$  contains decreasing chains of idempotents indexed by  $\alpha$ .
- (3) (N. Hindman, DS)  $\beta\mathbb{N}$  contains increasing chains  $\leq_R$  chains of idempotents indexed by  $\omega_1$ .
- (4) (Y. Zelenyuk)  $K(\beta\mathbb{N})$  contains rectangular semigroups of cardinality  $2^\mathfrak{c}$ . (A rectangular semigroup is one in which every element is idempotent and the identity  $xyz = xz$  is satisfied.)
- (5) Martin's Axiom implies that  $\beta\mathbb{N}$  contains idempotents which have a basis consisting of finite sum sets; but this cannot be proved in ZFC. The existence of an idempotent of this kind implies the existence of an infinite extremally disconnected Boolean topological group.